

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shingo OHKAWA

Serial No.: 09/273,449

Filed: March 22, 1999

For: SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE AND  
LIQUID CRYSTAL DISPLAY



SUBMISSION OF ENGLISH TRANSLATION TO COMPLETE  
APPLICATION UNDER 37 C.F.R. § 1.17(k)

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: Application Processing Division  
Special Processing and Correspondence Branch

Sir:

Pursuant to 37 C.F.R. §1.53(d) and in response to the U.S. Patent and Trademark Office Notice mailed April 8, 1999, enclosed is the English translation and certified copy of the Priority Document for completing the missing parts of the subject application. Also enclosed is payment for the necessary \$130.00 surcharge for English translation set forth in 37 C.F.R. §1.17(k).

It is requested that the English translation and executed certified copy of the Priority Document be entered in the file for the above-referenced application and that the application be advanced to examination.

Serial No.: 09/260,720

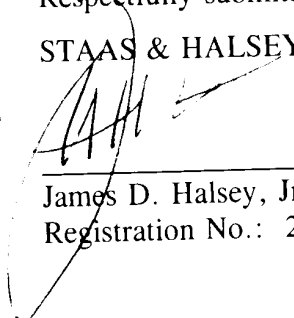
Docket No.: 1185.1043/JDH

If any further payments are required in connection with the filing of this paper, please charge same to our Deposit Account No.19-3935.

Respectfully submitted,

STAAS & HALSEY

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Date: May 14, 1999

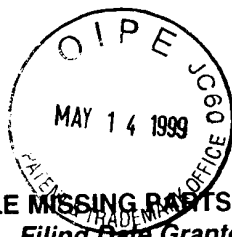
1185-1045/12H  
1899 MP: ENG. Transl.



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|                    |                     |                       |                           |
|--------------------|---------------------|-----------------------|---------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|

STANLEY & TIMOTHY  
700 ELEVENTH STREET NW  
SUITE 500  
WASHINGTON DC 20001



DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$\_\_\_\_\_.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
- \$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.
  - \$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
  - \$\_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☐ 3. The oath or declaration:
- ☐ is missing or unsigned.
  - ☐ does not cover the newly submitted items.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:
- \_\_\_\_\_
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☒ 8. The application was filed in a language other than English.
- Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

**BEST AVAILABLE COPY**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202